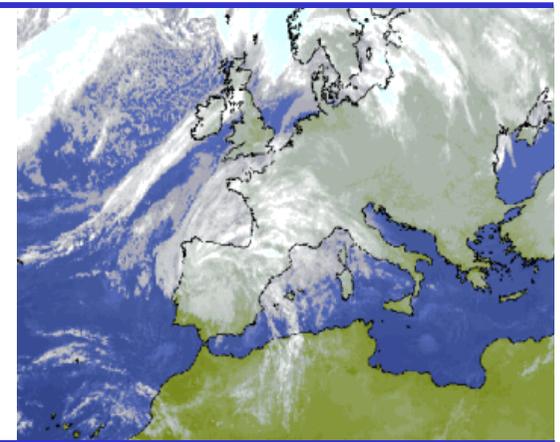


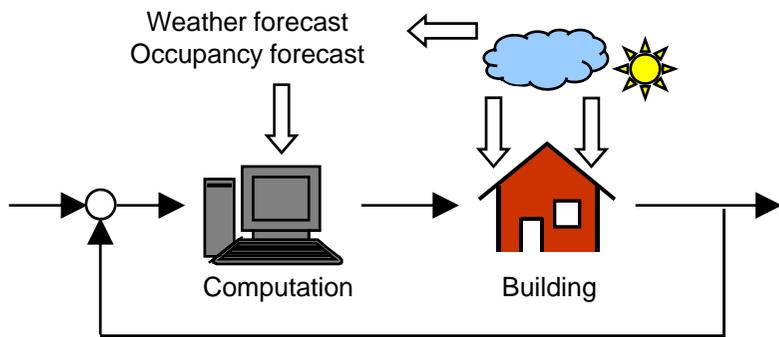
- Energy efficient building climate control -



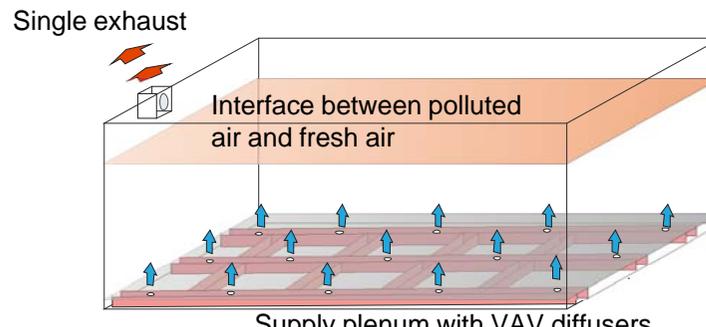
MANFRED MORARI
KIMBERLY CHUNG
DIMITRIOS GYALISTRAS
COLIN N. JONES
FRAUKE OLDEWURTEL
ALESSANDRA PARISIO
PHILIPP ROSTALSKI
FABIAN ULLMANN



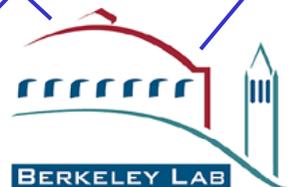
Multidisciplinary Team



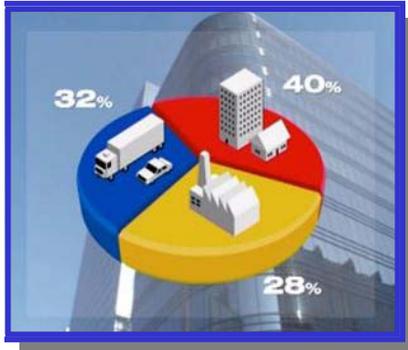
MPC controlled HVAC system



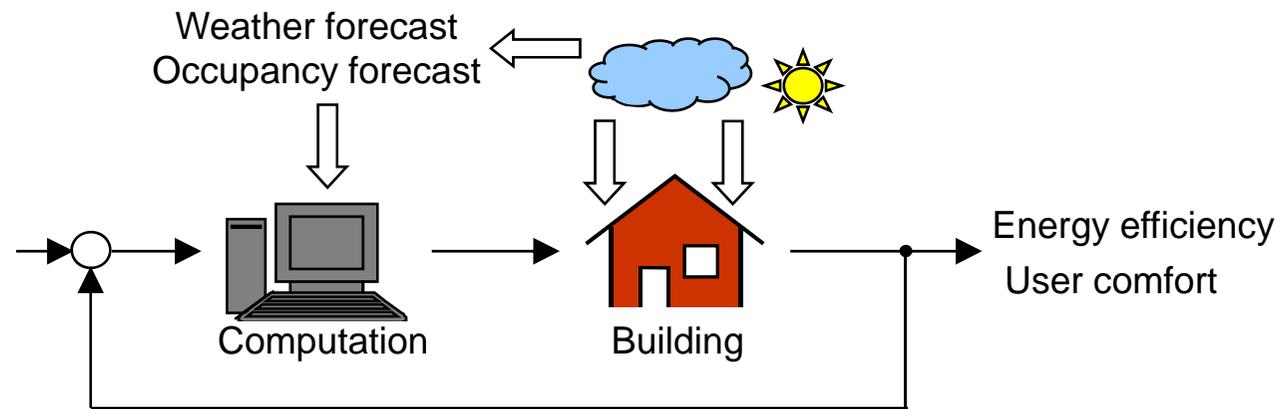
Underfloor ventilation system



Use of weather and occupancy forecasts for optimal building climate control



Europe : 40% energy used in buildings



Standards: Keep room temperature in comfort range with a given probability

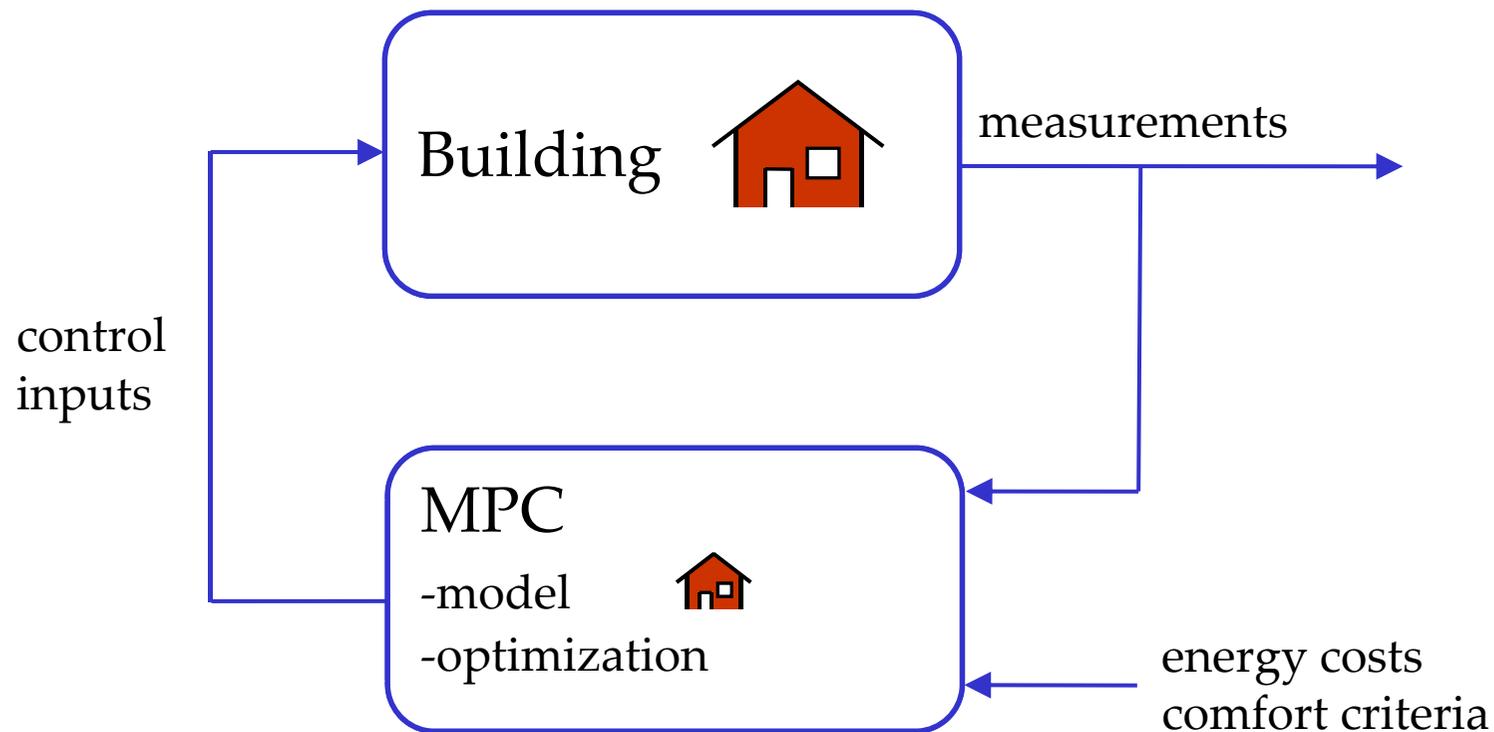
Goal: Satisfy constraints with a minimum amount of energy

Idea: Low carbon energy sources and building dynamics are slow and intermittent – use weather forecast for planning

Method: Model Predictive Control using weather and occupancy forecasts

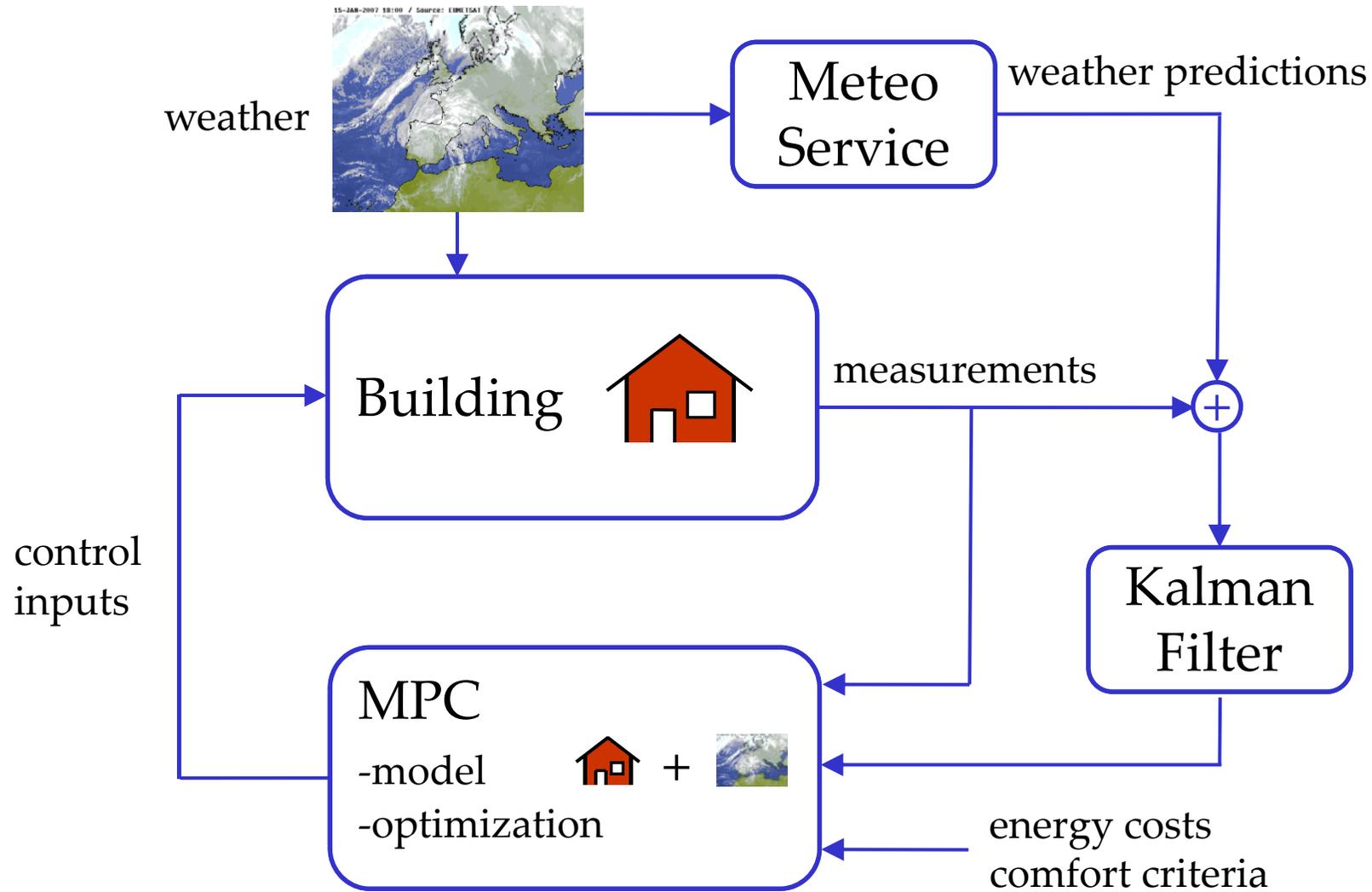
Motivation

- Model predictive control (MPC) for buildings



Motivation

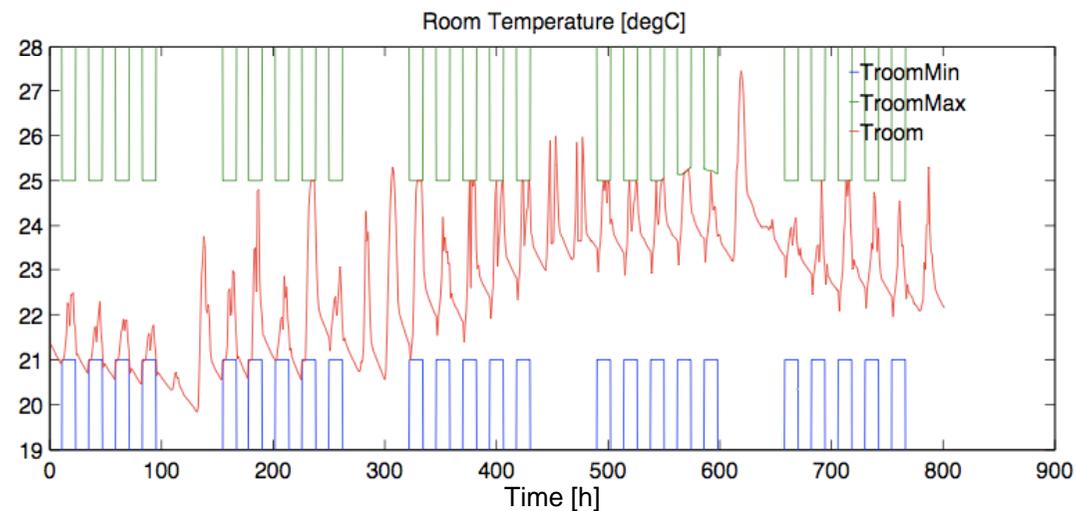
- Model predictive control (MPC) for buildings



Application - Integrated room automation

Integrated room automation means:

Integrated control of the heating and cooling system, the blinds and the electrical lighting of a room



Control task:

Keep the room temperature, illuminance level and CO₂ concentration in prescribed ranges

Research questions

- How much energy can be saved by using advanced control techniques and weather predictions?
- In which buildings and in which weather conditions can savings be achieved?

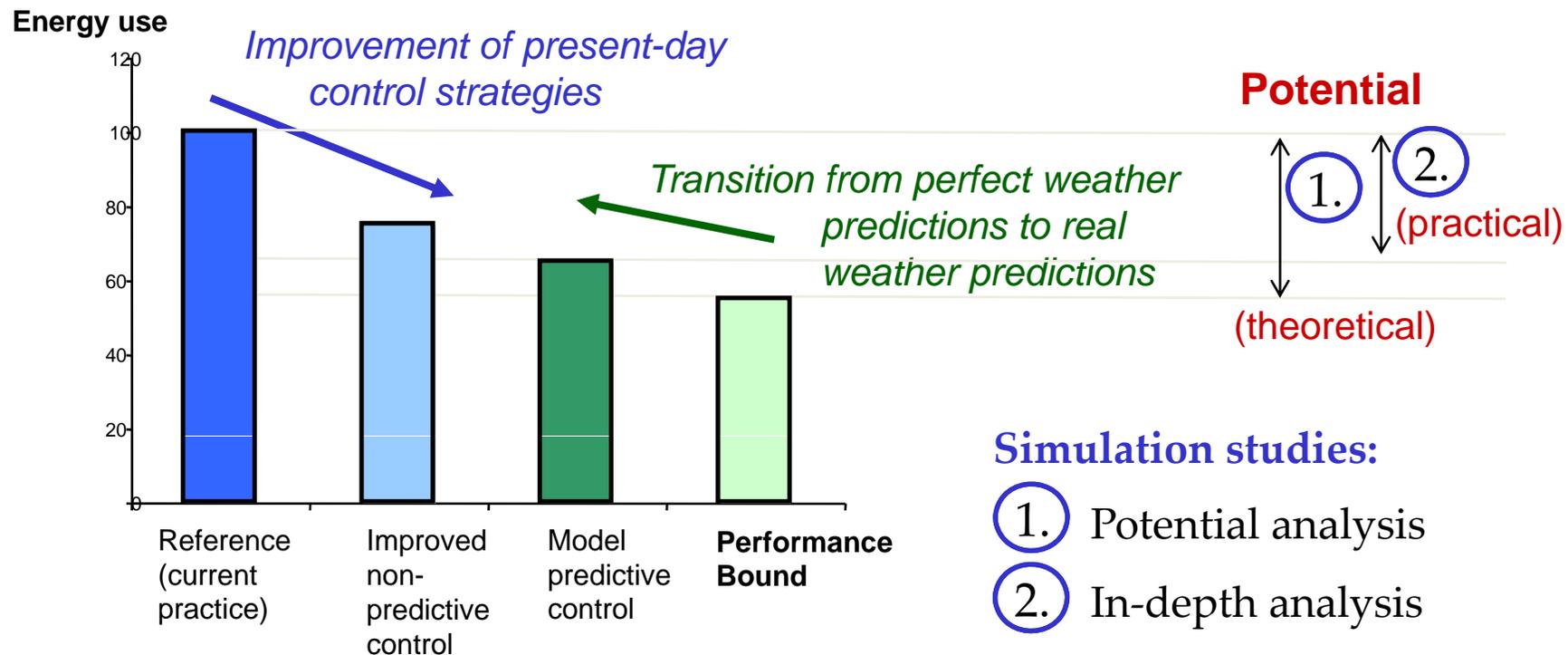
Approach: Large-scale simulation study

Controller assessment

– Concept

Consideration of weather prediction:

1. “perfect world–perfect weather prediction”
2. “real world, no weather prediction”
3. “real world, real weather prediction”

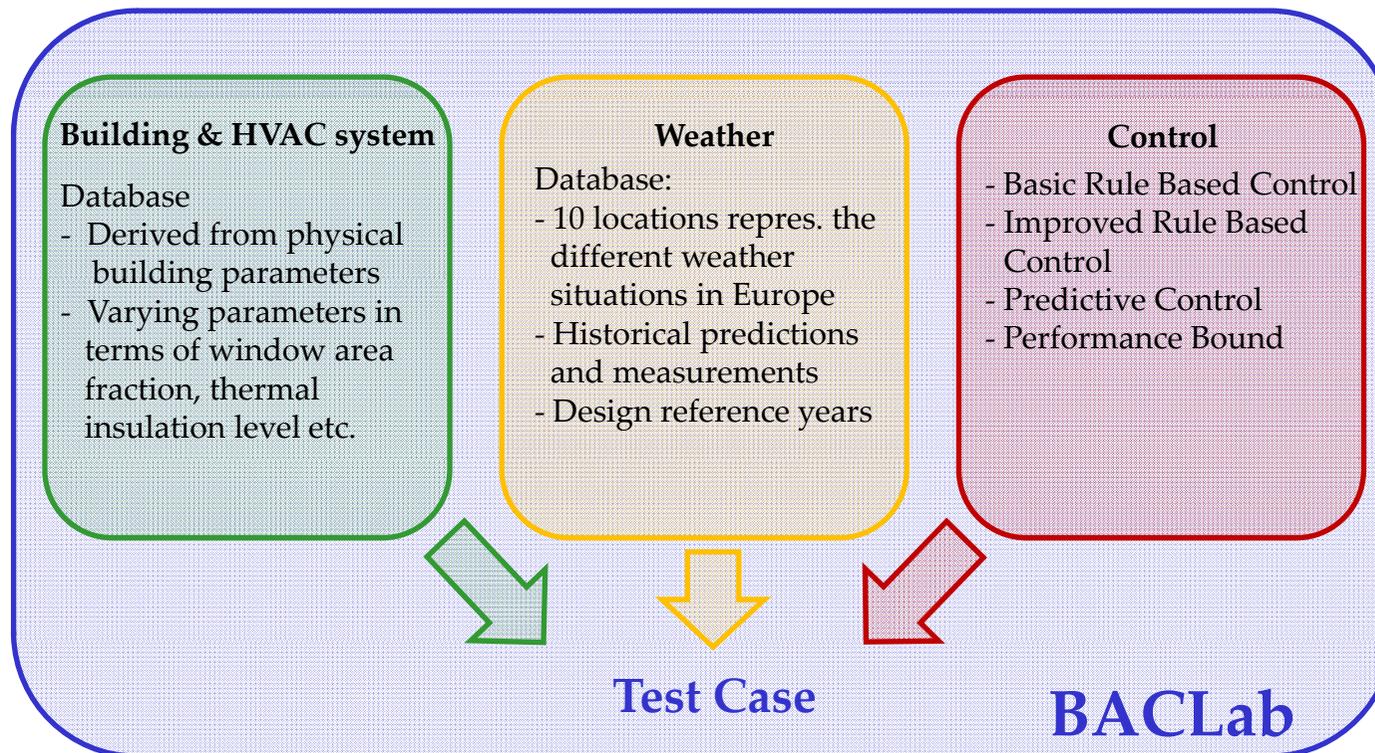


Outline

- Modeling/ Setup simulation study
- Potential analysis
 - Comparison of current practice with Performance Bound
 - Example 1: Importance of blind control
 - Example 2: Potential of advanced control
 - Example 3: Prediction horizon length
- In-depth analysis
 - Comparison of advanced control with current practice
 - Stochastic MPC
- Hierarchical control with hybrid MPC

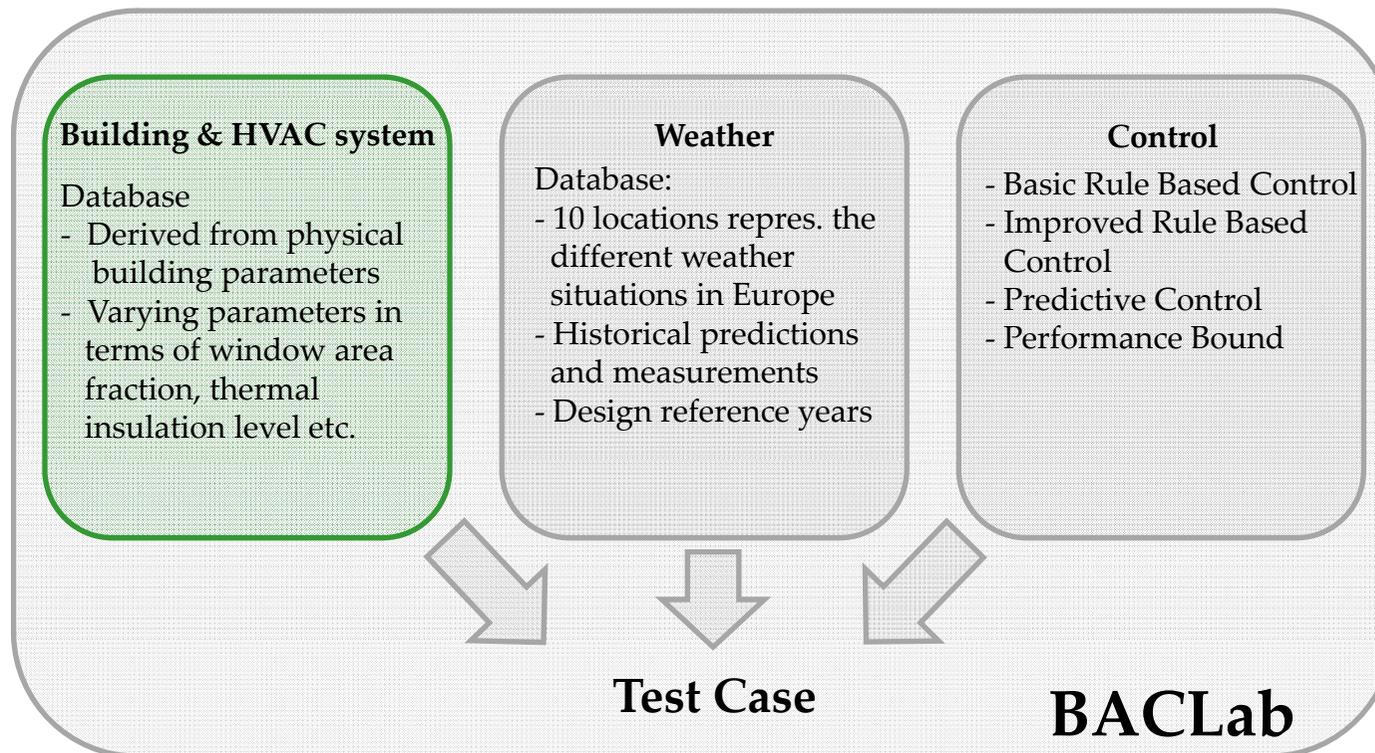
BACLab – Software tool

- **B**uilding **A**utomation and **C**ontrol **L**aboratory
- MATLAB-based building modeling and simulation environment
- Developed within OptiControl project



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Factorial study

5 building systems – 7 parameter sets

- Database of building & HVAC models typical for Europe
- Models validated with TRNSYS

Building systems

	S1	S2	S3	S4	S5
Blinds	X	X	X	X	X
Electric lighting	X	X	X	X	X
Mech. ventilation flow, heating, cooling	–	X	X	X	X
Mech. ventilation energy recovery	–	X	X	X	–
Natural ventilation (night-time only)	–	–	–	X	–
Cooled ceiling (capillary tube system)	X	X	–	–	–
Free cooling with wet cooling tower	X	X	–	–	–
Radiator heating	X	X	–	–	–
Floor heating	–	–	–	X	–
TABS	–	–	–	–	X

Factorial study

5 building systems – 7 parameter sets

- Database of building & HVAC models typical for Europe
- Models validated with TRNSYS

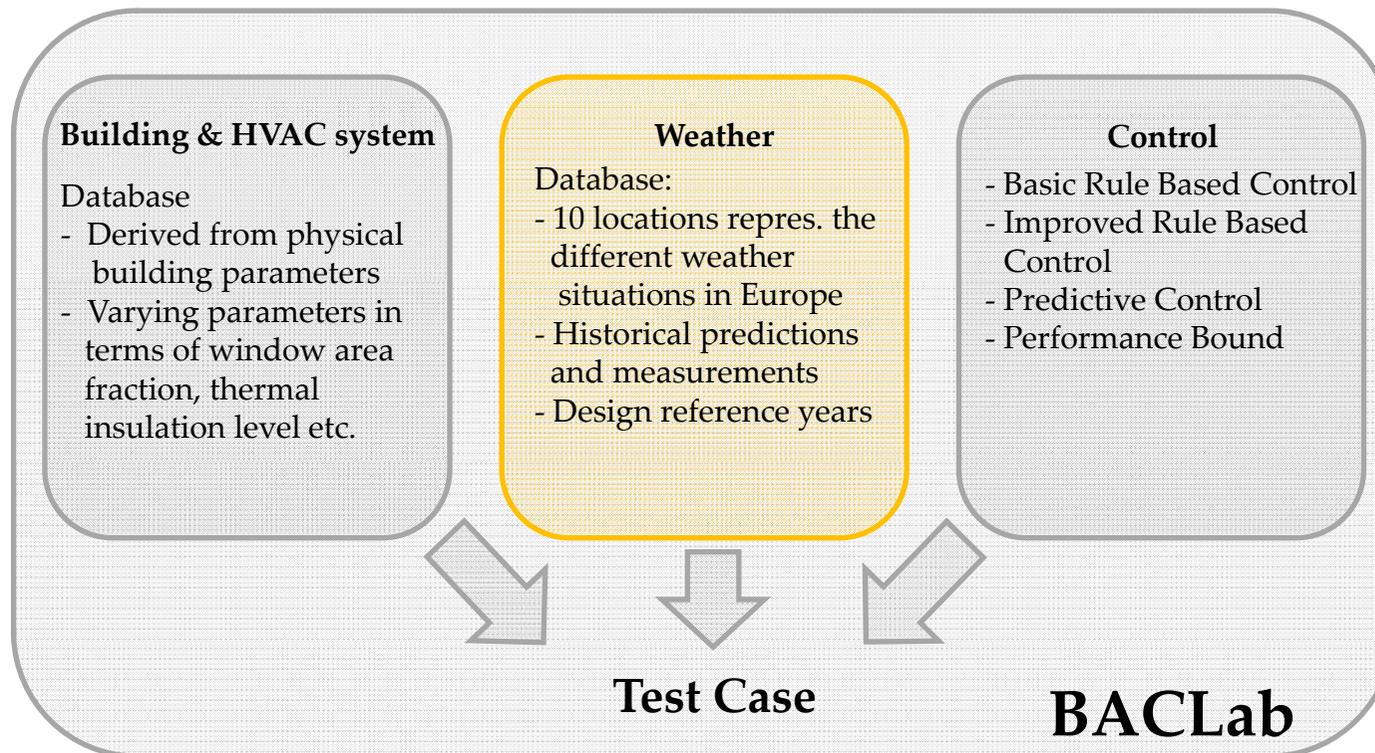
Parameter Sets:

Building standard	Swiss average	Passive house	
Construction type	heavy	light	
Window area fraction	high	low	
Internal gains (occupancy/equipment)	high	low	
Thermal comfort: Setbacks	yes	no	
Thermal comfort: Comfort range	wide	narrow	
Ventilation	none	two-stage	CO ₂ sensor

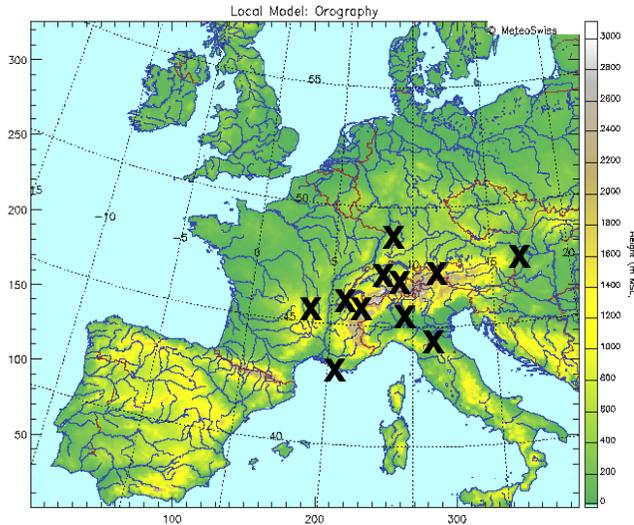
960 building cases · 10 locations · 4 orientations = 38.400 cases

BACLab – Software tool

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Weather predictions



Weather data:

- Historical measurements
- Design reference year: representative annual data sets (according to SIA standard)

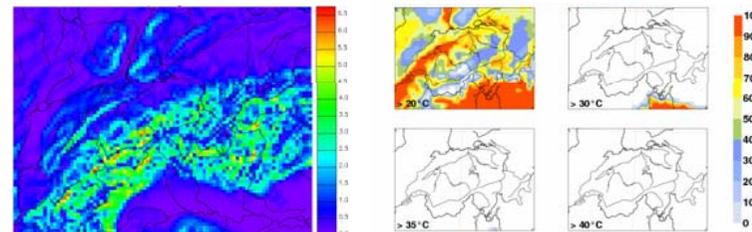
Weather predictions:

- Output of weather model by MeteoSwiss
- Persistence: next hour is like 24 hours ago

Zürich
 Basel-Binningen
 Genève-Cointrin
 Lugano
 Modena
 Marseille-Marignane
 Clermont-Ferrand
 Mannheim
 Hohenpeissenberg
 Wien Hohe Warte

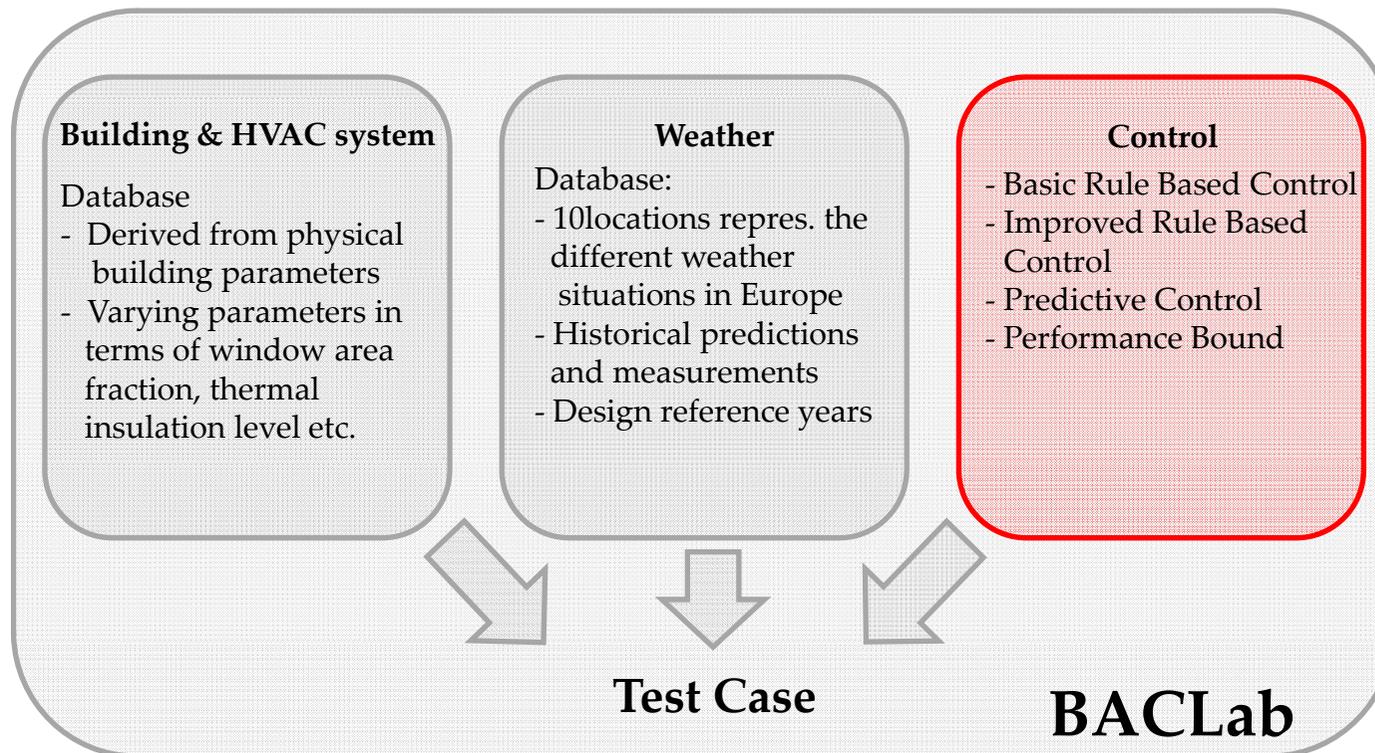
COSMO 7 weather model

- deterministic forecast
- 2 daily 72 hour forecast
- Region of Europe
- 385 x 325 gridpoints, 7km mesh
- 45 terrainfollowinglevels



BACLab – Software tool

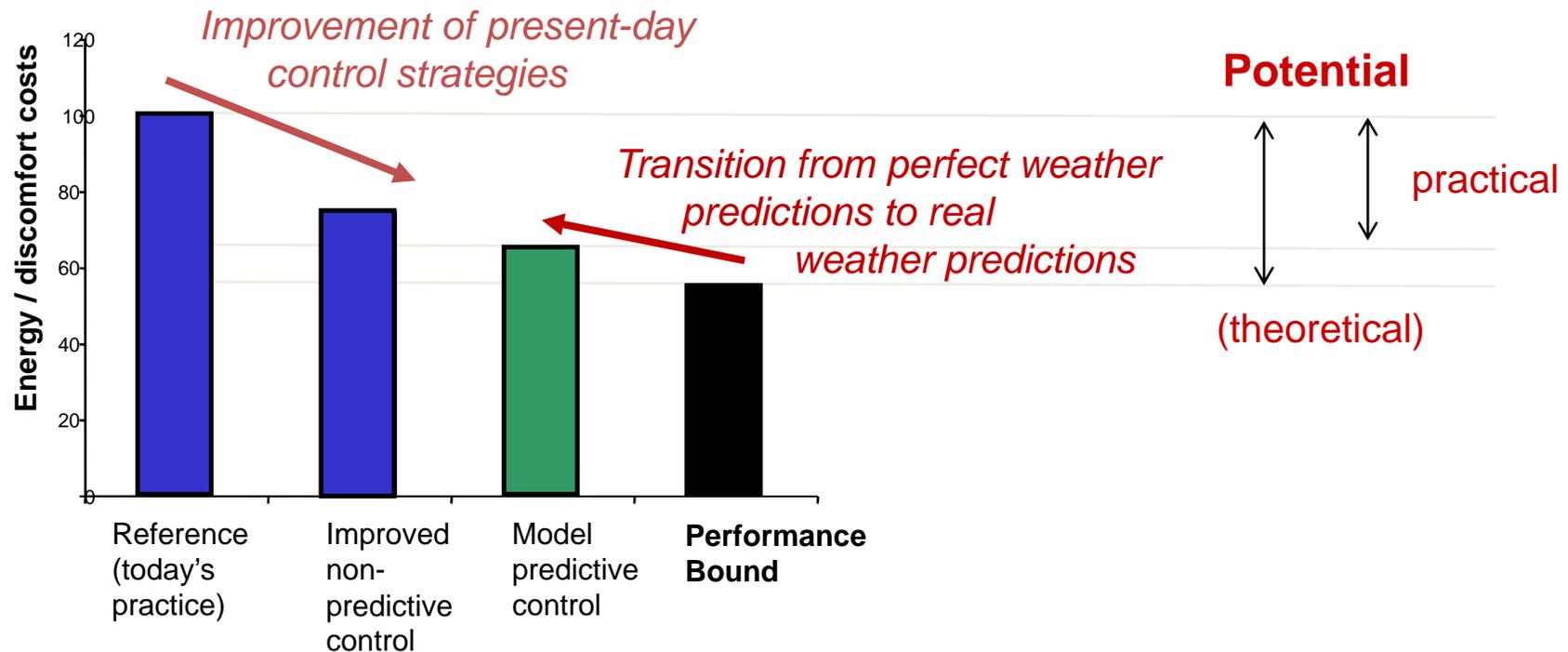
- **B**uilding **A**utomation and **C**ontrol **L**aboratory
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Controller approaches

	Based on rules		Based on MPC		Performance Bound

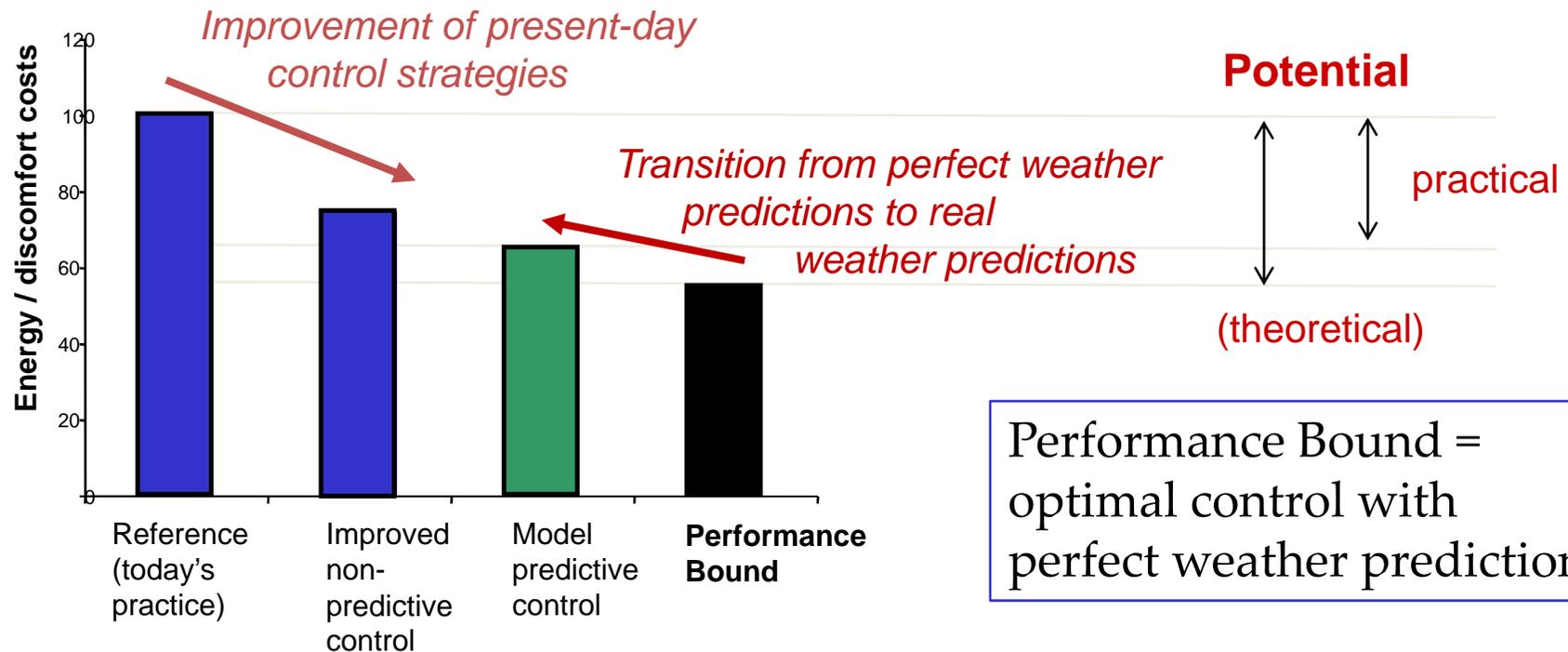
practical ← → theoretical



Controller approaches

	Based on rules		Based on MPC		Performance Bound

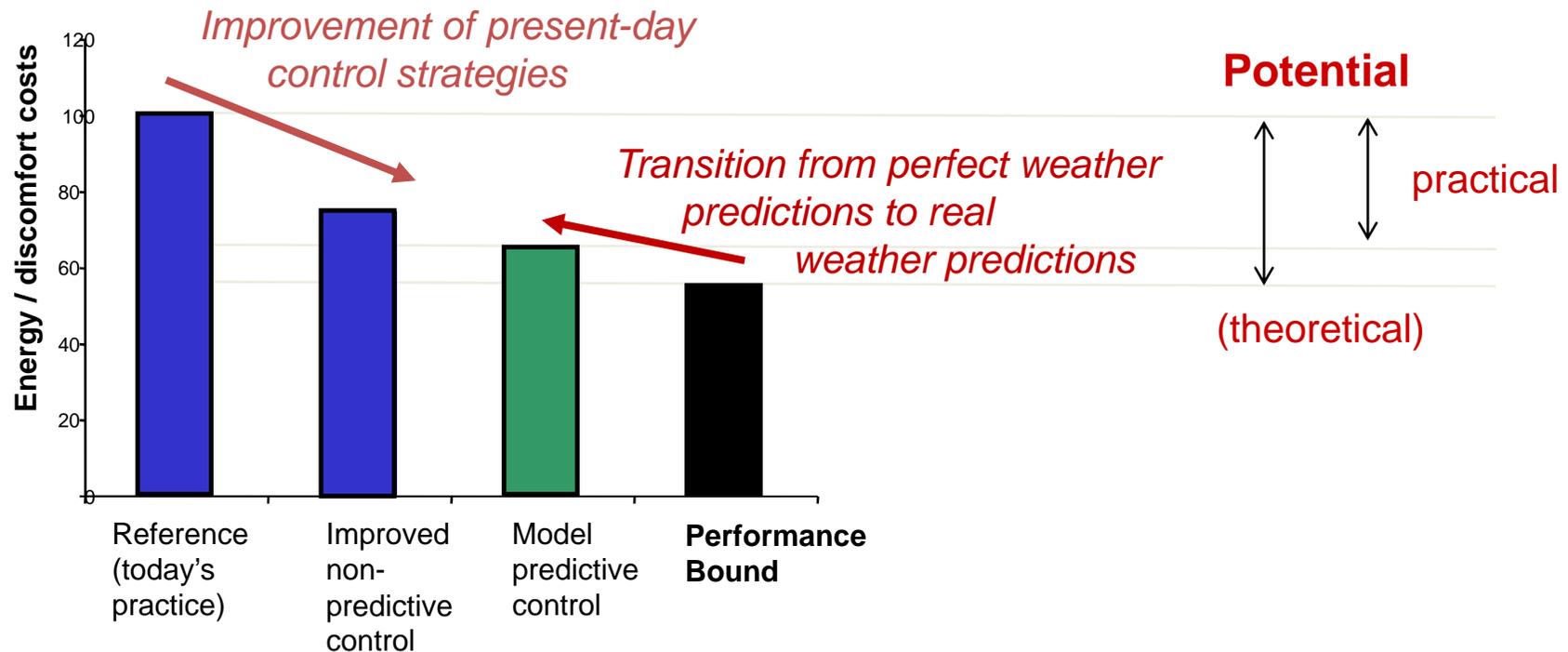
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Controller approaches

	Based on rules		Based on MPC		Performance Bound
	Current Practice	Improved Rule Based Control			

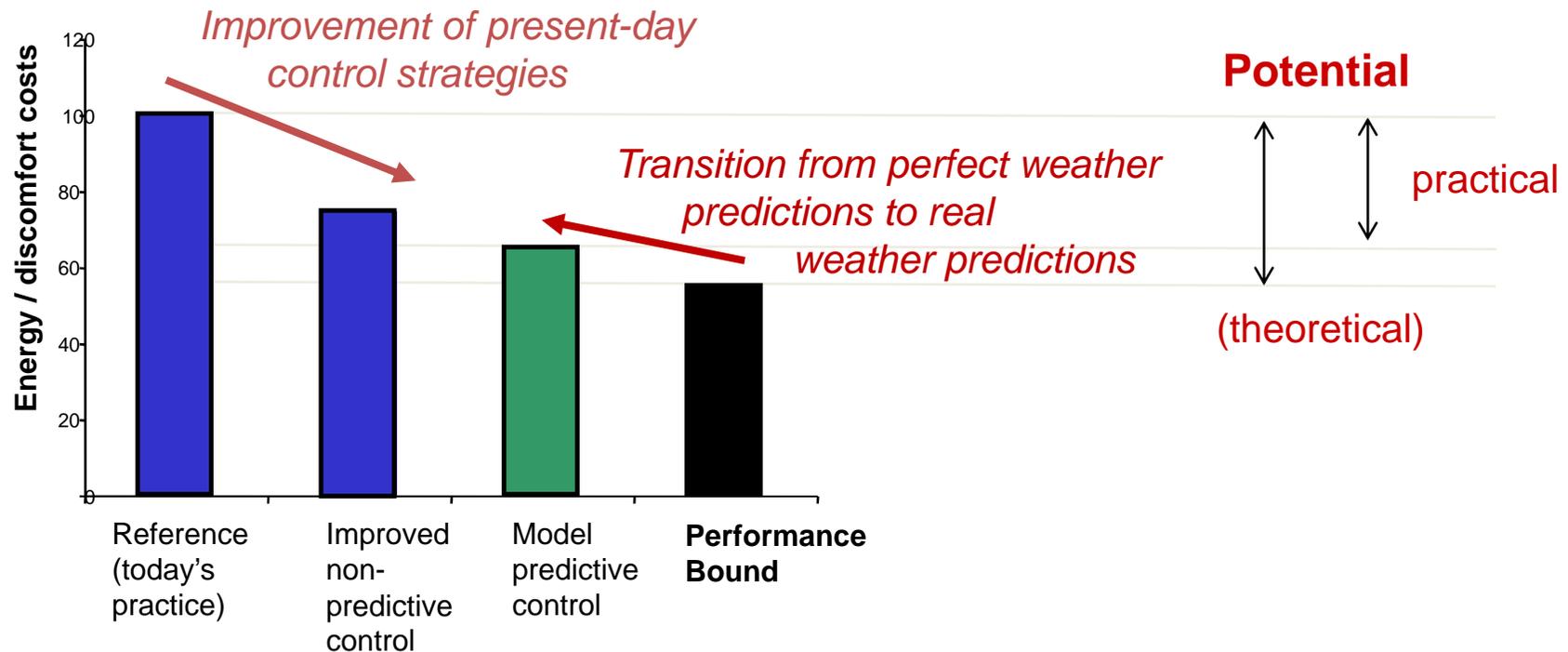
practical ← → theoretical



Controller approaches

	Based on rules		Based on MPC		Performance Bound
	Current Practice	Improved Rule Based Control	Deterministic MPC	Stochastic MPC	

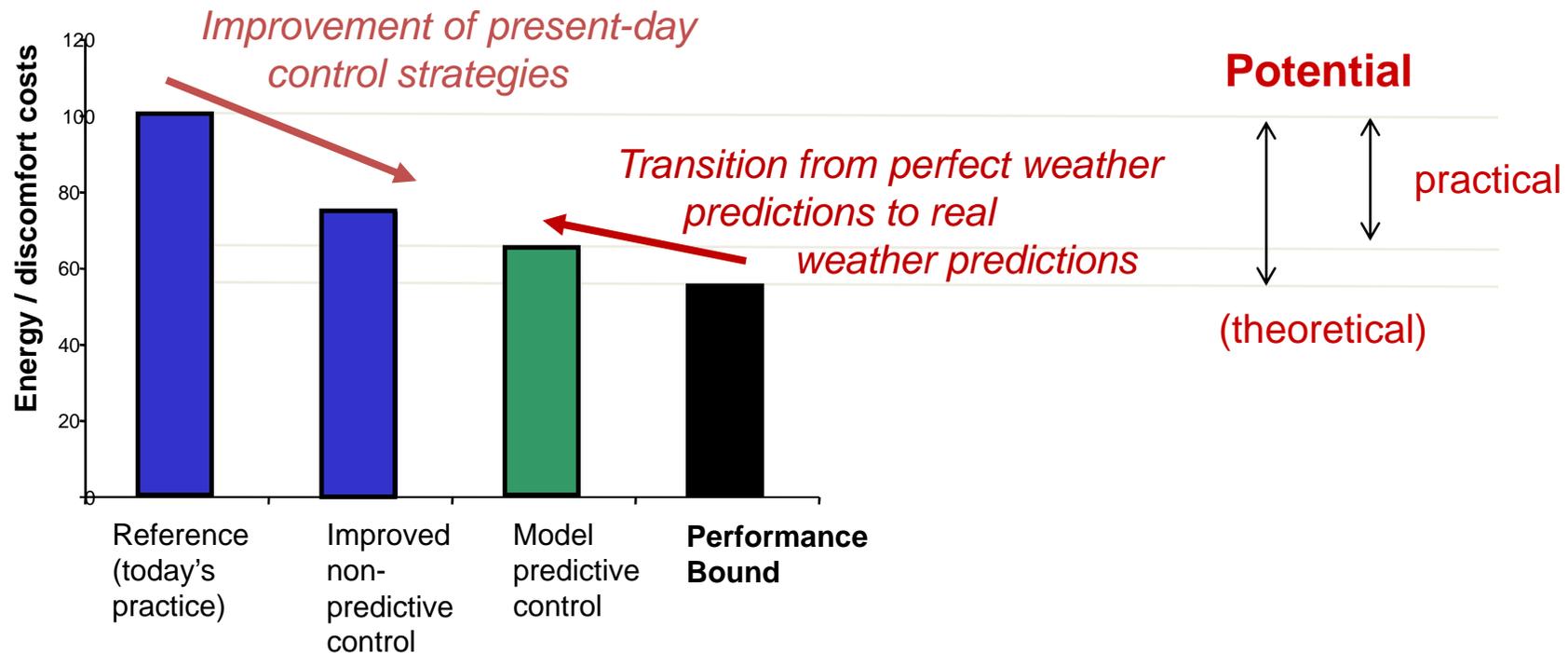
practical ← → theoretical



Controller approaches

	Based on rules		Based on MPC		Performance Bound
	Current Practice	Improved Rule Based Control	Deterministic MPC	Stochastic MPC	
Model based	no	no	yes	yes	yes
Weather pred.	none	none	realistic	realistic	perfect

practical ← → theoretical



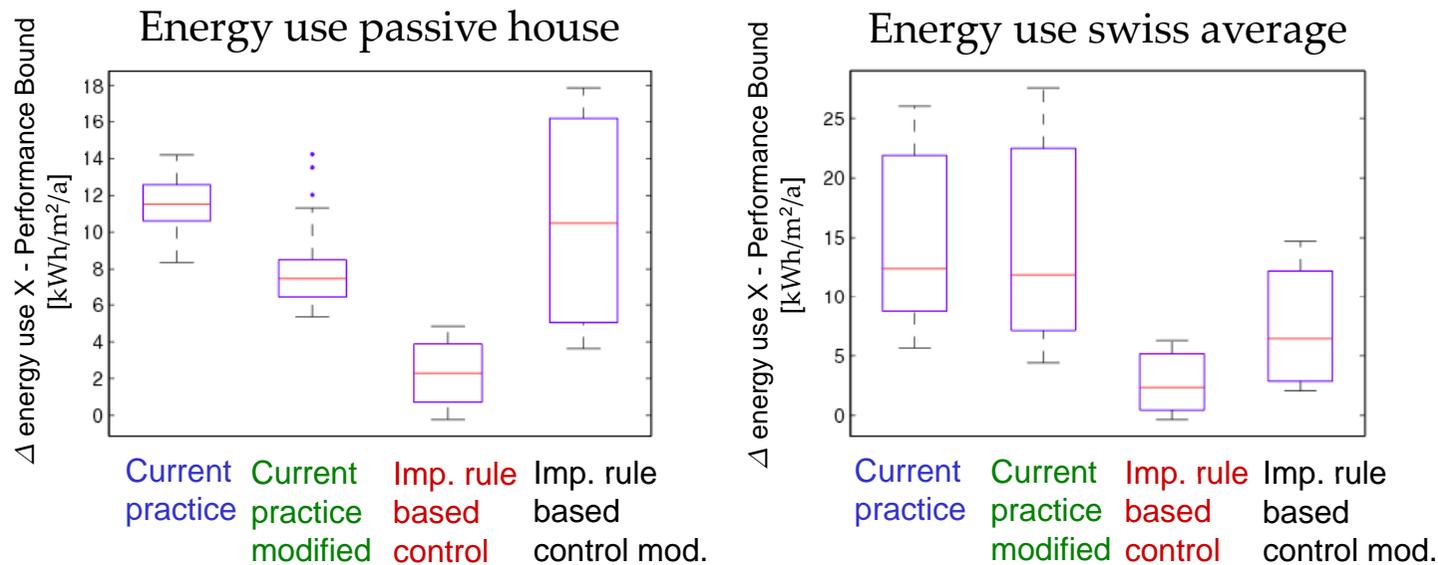
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Potential analysis

- Example 1: Importance of blind control

	Current Practice	Current Practice modified (more blind freedom)	Improved Rule Based Control (more info)	Imp. Rule Based Control modified (blinds hourly)
avail. position	open, 50%,closed	continuous	continuous	continuous
movement frequency	hourly	continuous	continuous	hourly
measurements used	current	current	current + past	current + past



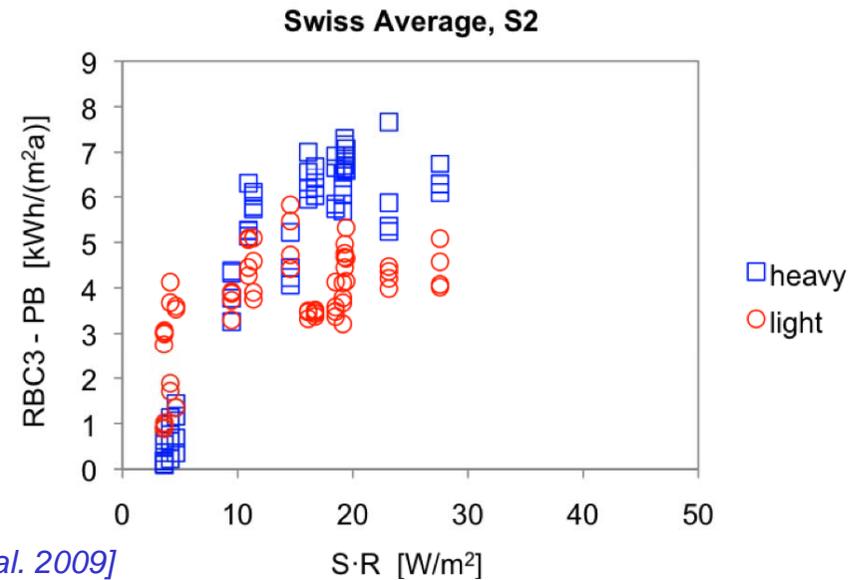
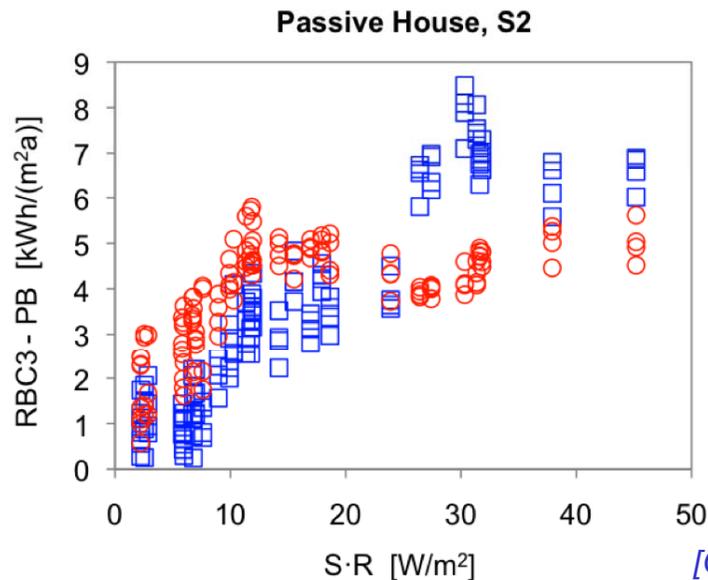
[Gyalistras et al. 2009]

Importance of solar gain area

Specific solar gain area

$$S = \frac{g \cdot A_{win}}{A_{floor}}$$

R = annual average of vertical global radiation components



Large savings potentials:

- with high solar gains are and heavy building
- with low solar gains and light building

Potential analysis

- Example 2: Potential for advanced control

Goal:

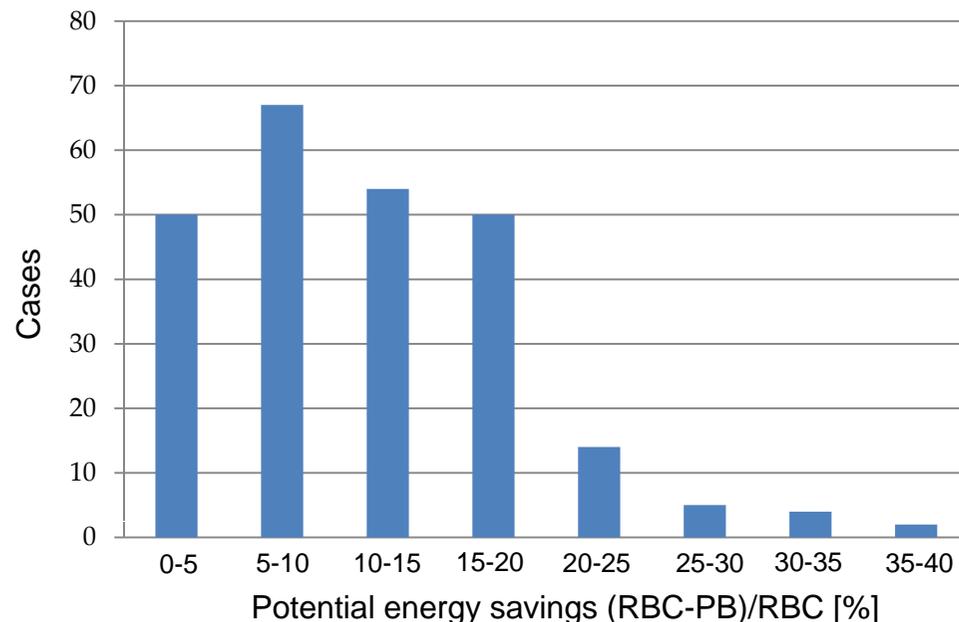
Isolate effect of advanced control

Comparison:

Performance Bound vs. Improved Rule Based Control

- Blind control perfect (continuous)

- 250 cases considered



[Oldewurtel et al. 2009]

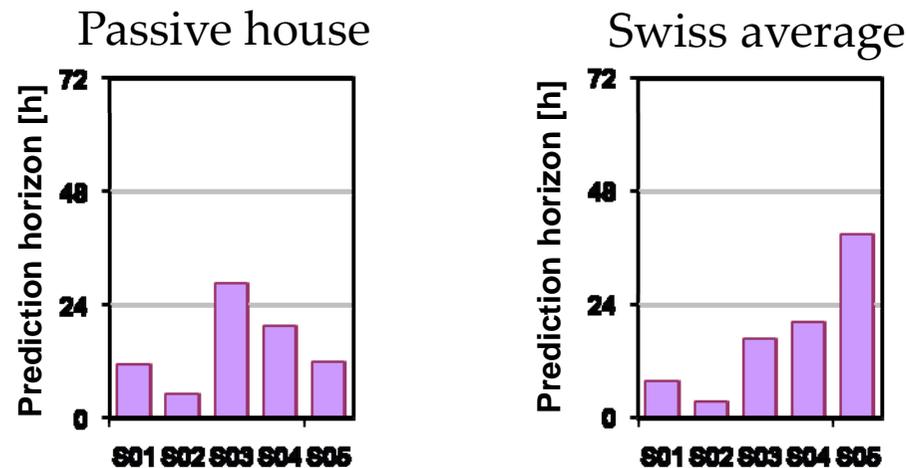
→ Even with Improved Rule Based Control and perfect blind control:
Large potential in many cases!

Potential analysis

- Example 3: Prediction horizon length

Goal: Choose horizon length, get error to Performance Bound to 5%

Comparison: Performance Bound vs. Performance Bound with shorter horizon lengths



[Gyalistras et al. 2009]

→ In the following investigations we use a horizon of 24h.

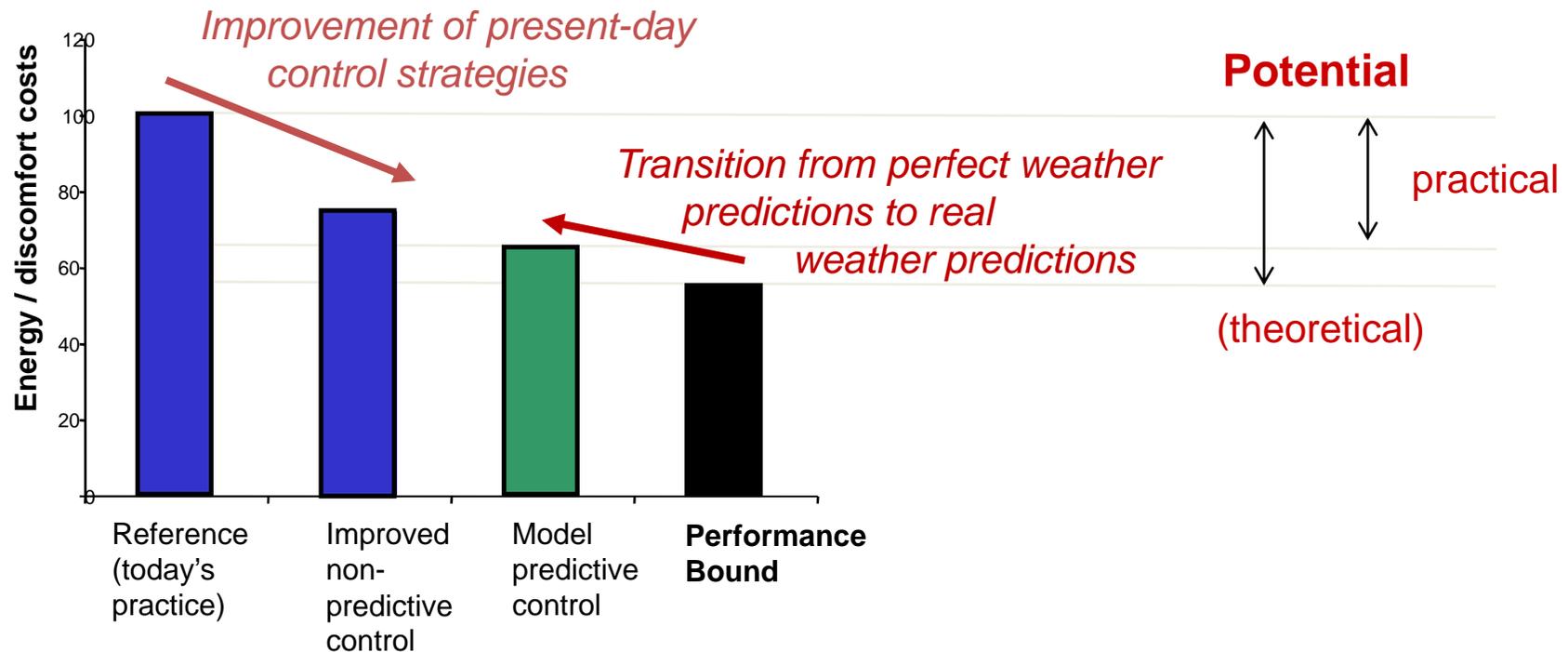
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Controller approaches

	Based on rules		Based on MPC		Performance Bound
	Current Practice	Improved Rule Based Control	Deterministic MPC	Stochastic MPC	

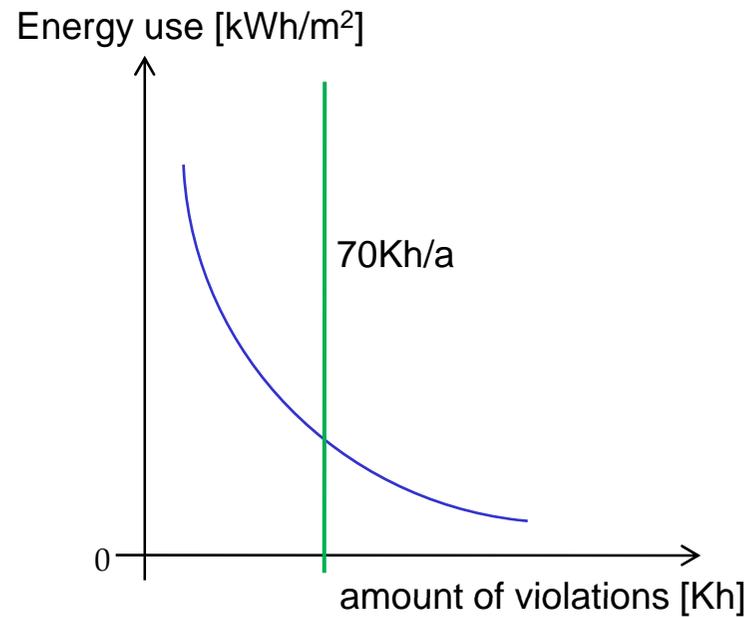
practical ← → theoretical



Simulations

Tradeoff curve energy vs. violations

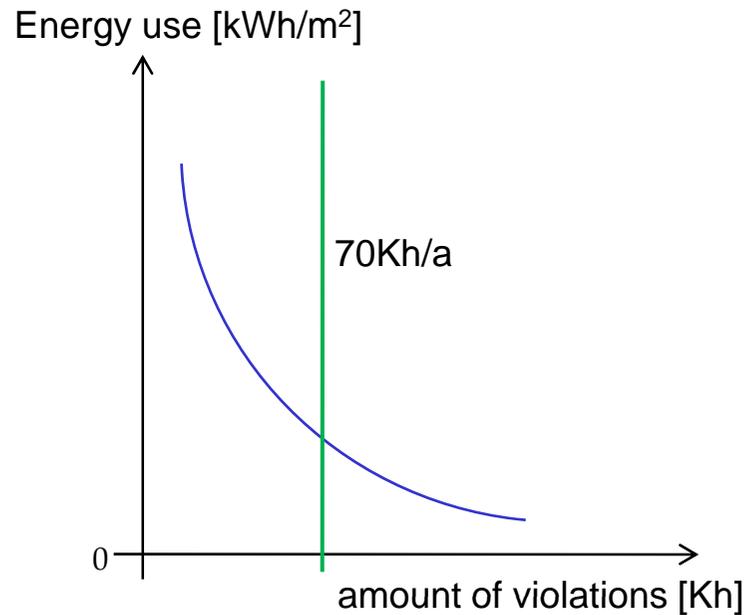
- comfort level can be adjusted
- standards: 70Kh/a



Simulations

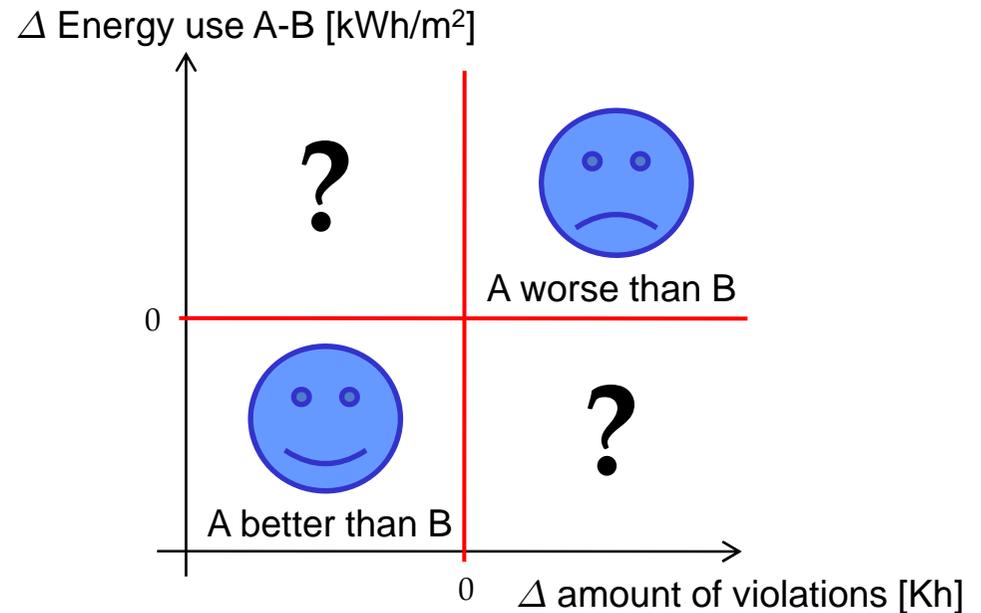
Tradeoff curve energy vs. violations

- comfort level can be adjusted
- standards: 70Kh/a



Comparison of controllers A and B

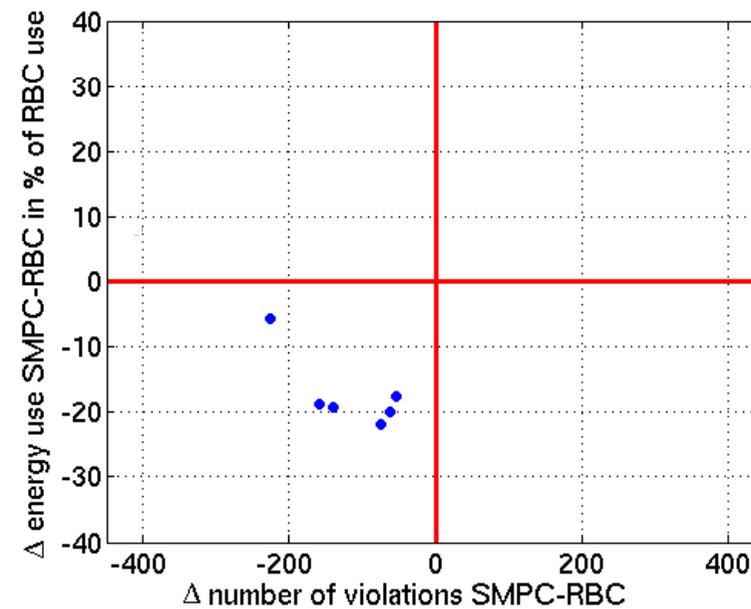
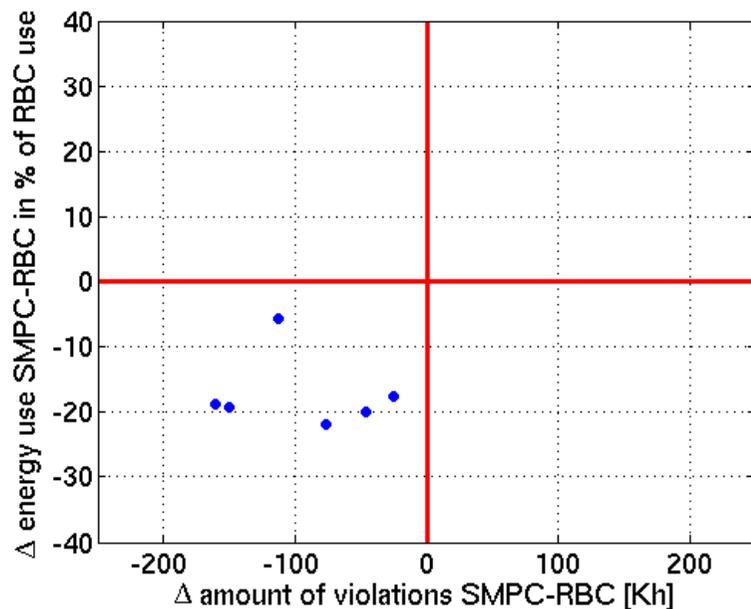
- 4 possible cases
- 2 cases undetermined (controller need to be tuned to be comparable)



Simulation results

Goal: Investigate improvement with Stochastic MPC
Comparison: Stochastic MPC vs. Improved Rule Based Control with hourly blind movement

- Difference in energy use as % savings of improved rule based control
- Difference in violations (amount & number) as absolute values



[Oldewurtel et al. 2009]

→ Stochastic MPC outperforms Improved Rule Based Control!

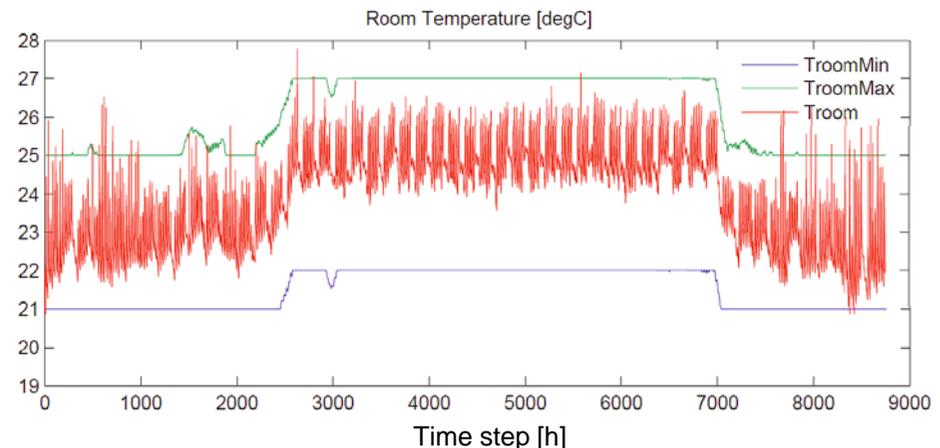
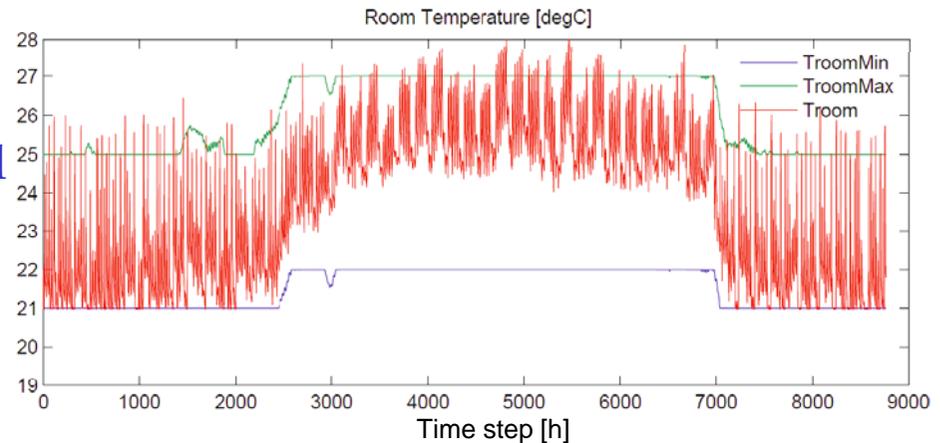
Simulation results

Room temperature behavior

- Energy saving with Stochastic MPC: up to 22%
- Violation savings with Stochastic MPC: up to 160Kh

Improved rule-based control (current and past measurements, hourly blind movement)

Stochastic MPC



[Oldewurtel et al. 2009]

→ Diurnal temperature variations are more favorable with Stochastic MPC!

Dimitrios Gyalistras & Markus Gwerder (Eds.)

Use of Weather and Occupancy Forecasts For Optimal Building Climate Control (OptiControl): Two Years Progress Report

Reporting Period May 2007–April 2009

Available December 2009!

*Terrestrial Systems Ecology ETH Zurich
R&D HVAC Products, Building Technologies Division, Siemens Switzerland Ltd., Zug*

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Swiss Federal Institute of Technology Zurich

EMPA
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research

 Schweizerische Eidgenossenschaft
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Confederazione Svizzera
Confederaziun svizra
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SIEMENS


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Summary

- **Large-scale simulation studies** carried out
- **Large potential** for advanced control strategies in many cases
- **Stochastic MPC** can significantly **improve performance**
- **Hybrid MPC** solution for **hierarchical control** can significantly **improve performance**

www.opticontrol.ethz.ch



Fig: View at the buildings in ETH Hönggerberg.

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